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| APPLICATION NO. | FILI | NG DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. | |
|------------------------|------|------------|----------------------|---------------------|------------------|--|
| 09/864,070 | 05/ | /22/2001 | Nigel Sammes | 2354/114 | 1011 | |
| 2101 | 7590 | 12/09/2005 | | EXAMINER | | |
| | | STEIN LLP | | MARTIN, ANGELA J | | |
| 125 SUMME BOSTON, N | | | | ART UNIT | PAPER NUMBER | |
| | | | | 1745 | **** | |

DATE MAILED: 12/09/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

| | Application No. | Applicant(s) | |
|---|--|---|------|
| | 09/864,070 | SAMMES ET AL. | |
| Office Action Summary | Examiner | Art Unit | _ |
| | Angela J. Martin | 1745 | _ |
| The MAILING DATE of this communication a Period for Reply | ppears on the cover sheet wi | th the correspondence address | |
| A SHORTENED STATUTORY PERIOD FOR REP WHICHEVER IS LONGER, FROM THE MAILING - Extensions of time may be available under the provisions of 37 CFR after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory perior Failure to reply within the set or extended period for reply will, by state Any reply received by the Office later than three months after the mail earned patent term adjustment. See 37 CFR 1.704(b). | DATE OF THIS COMMUNIC 1.136(a). In no event, however, may a read will apply and will expire SIX (6) MON ute, cause the application to become AB | CATION. eply be timely filed THS from the mailing date of this communication (as U.S.C. § 133). | • |
| Status | | | |
| 1) Responsive to communication(s) filed on 30 | September 2005. | | |
| 2a)⊠ This action is FINAL . 2b)☐ Th | nis action is non-final. | | |
| 3) Since this application is in condition for allow | ance except for formal matt | ers, prosecution as to the merits | is |
| closed in accordance with the practice under | r <i>Ex par</i> te <i>Quayle</i> , 1935 C.D | . 11, 453 O.G. 213. | |
| Disposition of Claims | | | |
| 4) Claim(s) <u>1-13,16,18,27 and 29-91</u> is/are pen | ding in the application. | | |
| 4a) Of the above claim(s) is/are withdr | rawn from consideration. | | |
| 5) Claim(s) is/are allowed. | | | |
| 6)⊠ Claim(s) <u>1-13,16,18,27,29-35,38-43,48-54,8</u> | 7 and 91 is/are rejected. | | |
| 7) Claim(s) <u>36, 37, 44-47, 88-90</u> is/are objected | d to. | | |
| 8) Claim(s) are subject to restriction and | /or election requirement. | | • |
| Application Papers | • | | |
| 9) The specification is objected to by the Examin | ner. | | |
| 10) The drawing(s) filed on is/are: a) □ ad | ccepted or b) objected to | by the Examiner. | |
| Applicant may not request that any objection to the | ne drawing(s) be held in abeyan | ce. See 37 CFR 1.85(a). | |
| Replacement drawing sheet(s) including the corre | ection is required if the drawing | (s) is objected to. See 37 CFR 1.121 | (d). |
| 11)☐ The oath or declaration is objected to by the l | Examiner. Note the attached | Office Action or form PTO-152. | |
| Priority under 35 U.S.C. § 119 | | | |
| 12) Acknowledgment is made of a claim for foreigal All b) Some * c) None of: | gn priority under 35 U.S.C. § | 119(a)-(d) or (f). | |
| Certified copies of the priority docume | nts have been received. | | |
| 2. Certified copies of the priority docume | | · · ——— | |
| 3. Copies of the certified copies of the pr | • | received in this National Stage | |
| application from the International Bure | | | |
| * See the attached detailed Office action for a list | st of the certified copies not | received. | |
| | | | |
| | • | | |
| Attachment(s) | _ | | |
| 1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) | | iummary (PTO-413) s)/Mail Date | |
| 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/0 | 8) 5) Notice of tr | formal Patent Application (PTO-152) | |
| Paper No(s)/Mail Date | 6) Other: | _· | |

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DETAILED ACTION

This Office Action is responsive to the Remarks/Arguments filed on September 30, 2005. However, the rejection is made final for the following reasons of record.

Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

2. Claim 32 is rejected under 35 U.S.C. 102(e) as being anticipated by Muthuswamy et al., U.S. Pat. No. 6,060,188.

Rejection of claim 32 drawn to a tubular solid oxide fuel cell.

Muthuswamy et al., teach a tubular solid oxide fuel cell comprising a tubular anode capable of supporting the fuel cell, an electrolyte disposed on a surface of the tubular anode, and a cathode disposed on the electrolyte (col. 2, lines 49-62).

Thus, the claim is anticipated.

Claim Rejections - 35 USC § 103

- 3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the

invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 32-35 and 54 are rejected under 35 U.S.C. 103(a) as being unpatentable over Muthuswamy et al., U.S. Pat. No. 6,060,188, in view of Kendall, U.S. Pat. No. 5,827,620.

Rejection of claims 32-35 and 54 drawn to a tubular solid oxide fuel cell.

Muthuswamy et al., teach a tubular solid oxide fuel cell as described above.

Kendall teaches a tubular solid oxide fuel cell comprising a tubular anode, an electrolyte disposed on a surface of the tubular anode, and a cathode disposed on the electrolyte (col. 5, lines 21-26). Additionally, it teaches the anode comprises a mixture of stabilized zirconia and nickel oxide (col. 5, lines 21-26); the cathode comprises a strontia-doped lanthanum manganite (col. 5, lines 21-26). It also teaches the tubular anode has a non-circular cross-section (col. 5, lines 59-61).

Thus, it would have been obvious at the time the invention was made to insert the teachings of Kendall into the teachings of Muthuswamy et al., because Muthuswamy et al., teach that the inner electrode can be a cathode or anode. Each of the layers of the tubular fuel cell is capable of supporting each other since the removal of either of the layers would lead to instability.

4. Claims 1-13, 16, 29-31, 87, and 91 rejected under 35 U.S.C. 102(b) as anticipated by or, in the alternative, under 35 U.S.C. 103(a) as obvious over Muthuswamy et al., U.S. Pat. No. 6,060,188, or Kendall, U.S. Pat. No. 5,827,620.

Rejection of claims 1-13, 16, 29-31, 87 and 91 drawn to a fuel cell.

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Muthuswamy et al., teach a fuel cell as described above.

Kendall teaches a fuel cell as described above.

Thus, the claims are anticipated. However, in the alternative, Muthuswamy et al., or Kendall teaches a product that appears to be the same as, or an obvious variant of, the product set forth in a product-by-process claim although produced by a different process. In re Marosi, 710 F. 2d 799, 218 USPQ 289 (Fed. Cir. 1983) and In re Thorpe, 777 F. 2d 695, 277 USPQ 964 (Fed. Cir. 1985).

5. Claims 32, 38, 39, and 54 are rejected under 35 U.S.C. 103(a) as being unpatentable over Muthuswamy et al., U.S. Pat. No. 6,060,188, in view of Ruka et al., U.S. Pat. No. 5,916,700.

Rejection of claims 32, 38, 39, and 54 drawn to a fuel cell.

Muthuswamy et al., teach a fuel cell as described above.

Muthuswamy et al., do not teach a thickness of the anode (supporting electrode) comprises over 50% of a total thickness of the anode, electrolyte and cathode (outer electrode); nor does it teach the anode has a non-circular cross-section.

Ruka et al., teach a thickness of the supporting electrode (col. 3, lines 28-31) comprises over 50% of a total thickness of the supporting electrode, electrolyte (col. 3, lines 38-44) and outer electrode (col. 4, lines 4-10); wherein the thickness of the supporting electrode is 300 *u*m (col. 3, lines 28-31). Additionally, it teaches the tubular anode has a non-circular cross-section (col. 3, lines 12-15).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to insert the teachings of Ruka et al., into the teachings of

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Muthuswamy et al., because although Ruka teaches a cathode-supported fuel cell and Muthuswamy et al., teach an anode-supported fuel cell, the inner electrode in either case, must be the thicker of the two electrodes in order to provide structural support to the tubular fuel cell.

6. Claims 32, 40-43, 48-52, 54 are rejected under 35 U.S.C. 103(a) as being unpatentable over Muthuswamy et al., U.S. Pat. No. 6,060,188, in view of Ruka et al., U.S. Pat. No. 5,908,713.

Rejection of claims 32, 40-43, 48-52, 54 drawn to a fuel cell.

Muthuswamy et al., teach a fuel cell as described above.

Muthuswamy et al., do not teach the claim limitations of claims 40-43, 48-52, 54.

Ruka et al., teach a fuel cell wherein the anode comprises a catalyst material of CeO2 in a proportion of 1.5 to 2 weight percent (col. 5, lines 40-45). It also teaches the anode comprises a volume percentage of nickel of 40 to 50% (col. 7, lines 14-17). Additionally, it teaches the anode comprises more than one anode layer, each layer having a different composition (col. 2, lines 45-65). It teaches the more than one anode layers comprise a thicker support layer and a thinner active layer, the support layer in contact with a fuel gas (col. 7, lines 2-9); wherein the support layer comprises a higher ratio of stabilized zirconia to nickel and wherein the active layer comprises a lower ratio (col. 7, lines 14-17); the support layer comprises about 40 to 50% nickel by volume (col. 7, lines 14-17). It also teaches the active layer comprises an embedded current-collecting wire (col. 3, lines 56-59); the support layer comprises aluminum oxide (col. 2, lines 61-65).

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Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to insert the teachings of Ruka et al., into the teachings of Muthuswamy et al., because Ruka et al., teaches the specifics of the anode present in an electrode- supported fuel cell and it also teaches a solid oxide fuel cell "providing the desired combination of conductivity, adherence, electrochemical performance and stability over a long period of time" (Ruka et al., col. 2, lines 32-37).

7. Claims 29, 32, 36-38, 53, 54, 88-90 are rejected under 35 U.S.C. 103(a) as being unpatentable over Muthuswamy et al., U.S. Pat. No. 6,060,188, in view of Stover et al., Electrochem. Society Proceedings.

Muthuswamy et al., teach a tubular solid oxide fuel cell as described above.

Muthuswamy et al., do not teach the cathode comprises at least cobaltate or gadnolium; cathode comprises more than one layer, each layer having a different composition; thickness of the anode; two cathode layers; more than two cathode layers; the composition of the two cathode layers.

Stover et al., teach the cathode comprises at least cobaltate (p. 813, para. 1) or gadnolium (p. 816, para 2); cathode comprises more than one layer, each layer having a different composition (p. 813, Table 1); thickness of the anode (p. 813, Table 1); two cathode layers (p. 813, Table 1); more than two cathode layers (p. 813, Table 1); the composition of the two cathode layers (p. 812, Fig. 1; p. 813, Table 1). It teaches the support layer comprises aluminum oxide (p. 813, para. 1).

Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to insert the teachings of Stover et al., into the teachings of

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Muthuswamy et al., because Stover et al., teach a fuel cell having more than one cathode layer, which optimizes the cathode materials and increases the catalytic activity of the cathode (p. 815, last para.). The extruded tube having a non-circular cross-section would be a design choice of the artisan, depending on the shape of the holding device of the tube.

Allowable Subject Matter

- 8. Claims 36, 37, 44-47, and 88-90 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.
- 9. The following is a statement of reasons for the indication of allowable subject matter:

The Applicant claims a fuel cell as taught above.

However, the prior art of record does not teach the fuel cell with the limitations of claims 36, 37, 44-47, and 88-90.

Response to Arguments

10. Applicant's arguments filed 9/30/05 have been fully considered but they are not persuasive. Applicant argues that Muthuswamy "actually teaches a tubular fuel cell that is supported by a rigid foam material and does not describe or otherwise suggest an anode-supported tubular fuel cell." However, independent claim 32 claims "a tubular anode capable of supporting the fuel cell..." It has been held that the recitation that an element is "capable of" performing a function is not a positive limitation but only requires

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the ability to so perform. It does not constitute a limitation in any patentable sense. *In re Hutchison*, 69 USPQ 138. Applicant argues that "neither Muthuswamy, Kendall, nor the other art of record describes or otherwise suggests an anode-supported tubular fuel cell as presently claimed..." However, the recitation of "capable of" along with the intimate contact of the anode, electrolyte, and cathode, provides some degree of support between each of the layers.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Angela J. Martin whose telephone number is 571-272-1288. The examiner can normally be reached on Monday-Friday from 9:00 am to 5:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Patrick Ryan can be reached on 571-272-1292. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

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AJM

PATRICK JOSEPH RYAN SUPERVISORY PATENT EXAMINER